

AFH Fin Group Plc

Holding(s) in Company

RNS Number : 7266B
 AFH Financial Group Plc
 05 April 2017

For filings with the FCA include the annex

For filings with issuer exclude the annex

TR-1: NOTIFICATION OF MAJOR INTEREST IN SHARESⁱ

1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached:ⁱⁱ

AFH Financial Group plc

2 Reason for the notification (please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

X

An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached

An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments

An event changing the breakdown of voting rights

Other (please specify):

3. Full name of person(s) subject to the notification obligation:ⁱⁱⁱ

Alan Hudson

4. Full name of shareholder(s)
 (if different from 3.):^{iv}

5. Date of the transaction and date on which the threshold is crossed or reached:^v

22 March 2017

6. Date on which issuer notified:

4th April 2017

7. Threshold(s) that is/are crossed or reached:^{vi, vii}

29%

8. Notified details:

A: Voting rights attached to shares^{viii, ix}

| Class/type of shares | Situation previous to the triggering transaction | | Resulting situation after the triggering transaction | | | | |
|---------------------------------|--|-------------------------|--|-------------------------|---------------------------------|--------|----------|
| | Number of Shares | Number of Voting Rights | Number of shares | Number of voting rights | % of voting rights ^x | | |
| if possible using the ISIN CODE | | | Direct | Direct ^{xi} | Indirect ^{xii} | Direct | Indirect |
| Ordinary | 7,555,700 | 7,555,700 | 7,055,700 | 7,055,700 | | 28.95 | |

B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

| Type of financial instrument | Expiration date ^{xiii} | Exercise/Conversion Period ^{xiv} | Number of voting rights that may be acquired if the instrument is exercised/ converted. | % of voting rights |
|------------------------------|---------------------------------|---|---|--------------------|
|------------------------------|---------------------------------|---|---|--------------------|

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments^{xv, xvi}

Resulting situation after the triggering transaction

| Type of financial instrument | Exercise price | Expiration date ^{xvii} | Exercise/Conversion period ^{xviii} | Number of voting rights instrument refers to | % of voting rights ^{xix, xx} |
|------------------------------|----------------|---------------------------------|---|--|---------------------------------------|
|------------------------------|----------------|---------------------------------|---|--|---------------------------------------|

NominalDelta

Total (A+B+C)

Number of voting rights

7,055,700

Percentage of voting rights

28.95

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable:^{xxi}

Proxy Voting:

10. Name of the proxy holder:

11. Number of voting rights proxy holder will cease to hold:

12. Date on which proxy holder will cease to hold voting rights:

13. Additional information:

14. Contact name:

Alan Hudson

15. Contact telephone number:

01527
577775

Note: Annex should only be submitted to the FCA not the issuer

Annex: Notification of major interests in shares^{xxii}

A: Identity of the persons or legal entity subject to the notification obligation

Full name

Alan Hudson

(including legal form of legal entities)

Contact address

(registered office for legal entities)

AFH House, Buntsford Drive, Stoke Heath,
Bromsgrove, Worcestershire B60 4JE

Phone number & email

01527 577775 & alan.hudson@afhgroup.com

Other useful information

(at least legal representative for legal
persons)

B: Identity of the notifier, if applicable

Full name

Contact address

Phone number & email

Other useful information

(e.g. functional relationship with the person
or legal entity subject to the notification
obligation)

C: Additional information

For notes on how to complete form TR-1 please see the FCA website.

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