

RNS Number : 2462C
 AFH Financial Group Plc
 11 April 2017

TR-1: NOTIFICATION OF MAJOR INTEREST IN SHARESⁱ

1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached:ⁱⁱ AFH Financial Group PLC

2 Reason for the notification (please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached

An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments

An event changing the breakdown of voting rights X

Other (please specify):

3. Full name of person(s) subject to the notification obligation:ⁱⁱⁱ Philip Mobberley

4. Full name of shareholder(s)
 (if different from 3.):^{iv}

5. Date of the transaction and date on which the threshold is crossed or reached:^v 11 April 2017

6. Date on which issuer notified: 11 April 2017

7. Threshold(s) that is/are crossed or reached:^{vi, vii} 10%

8. Notified details:

A: Voting rights attached to shares^{viii, ix}

Class/type of shares if possible using the ISIN CODE	Situation previous to the triggering transaction		Resulting situation after the triggering transaction			
	Number of Shares	Number of Voting Rights	Number of shares	Number of voting rights		% of voting rights ^x
			Direct	Direct ^{xi}	Indirect ^{xii}	Direct Indirect
Ordinary	2,967,919	2,967,919	2,967,919	2,967,919		9.87

B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

Type of financial	Expiration	Exercise/	Number of voting	% of voting
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instrument	date ^{xiii}	Conversion Period ^{xiv}	rights that may be acquired if the instrument is exercised/ converted.	rights
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C: Financial Instruments with similar economic effect to Qualifying Financial Instruments^{xv, xvi}

Resulting situation after the triggering transaction

Type of financial instrument	Exercise price	Expiration date ^{xvii}	Exercise/ Conversion period ^{xviii}	Number of voting rights instrument refers to	% of voting rights ^{xix, xx}
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NominalDelta

Total (A+B+C)

Number of voting rights
2,967,919

Percentage of voting rights
9.87

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable:^{xxi}

Proxy Voting:

10. Name of the proxy holder:

11. Number of voting rights proxy holder will cease to hold:

12. Date on which proxy holder will cease to hold voting rights:

13. Additional information:

14. Contact name:

Philip Mobberley

01384 293

15. Contact telephone number:

068

Note: Annex should only be submitted to the FCA not the issuer

Annex: Notification of major interests in share

A: Identity of the persons or legal entity subject to the notification obligation

Full name

(including legal form of legal entities)

Philip Mobberley

Contact address

(registered office for legal entities)

Ridgehill House, Lawnswood, Nr
Stourbridge, West Midlands. DY7 5QH

Phone number & email

01384 293 068

Other useful information

(at least legal representative for legal persons)

B: Identity of the notifier, if applicable

Full name

Contact address

Phone number & email

Other useful information

(e.g. functional relationship with the person or legal
entity subject to the notification obligation)

C: Additional information

For notes on how to complete form TR-1 please see the FCA website.

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