

RNS Number : 6109W  
AFH Financial Group Plc  
16 November 2017

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AFH FINANCIAL GROUP PLC

("AFH" or the "Company")

### Grant of Options

The Board of AFH, a leading financial planning led investment management firm, announces that it has granted 210,000 options to the Company's Executive Directors and a further 220,000 options to other members of management, all exercisable at 250 pence per Ordinary Share, under the Company's growth share scheme. These are exercisable as to 50% after three years subject to the satisfaction of performance criteria and the remaining 50% after the fifth anniversary of grant.

Name	Number of Options granted	Type of grant	Total number of Options held following grant	Per cent. of current issued share capital <sup>(1)</sup>
Alan Hudson (Chief Executive Officer)	125,000	Growth Share Scheme	475,000	1.26%
Paul Wright (Chief Financial Officer)	40,000	Growth Share Scheme	382,000	1.02%
Alexis Larvin (Executive Director)	25,000	Growth Share Scheme	202,420	0.54%
Austin Broad (Executive Director)	20,000	Growth Share Scheme	182,420	0.49%

(1) Assumes that the new Ordinary Shares issued pursuant to the Placing announced on 15 November 2017 are admitted to trading on AIM

Following the grant of options, and assuming that the new Ordinary Shares placed pursuant to the Placing announced on 15 November 2017 are admitted to trading on AIM, the total number of options outstanding to subscribe for Ordinary Shares in the Company, will be 2,857,000, representing 7.6 per cent. of the Company's issued share capital, of which 1,345,425, representing 3.6 per cent. of the Company's issued share capital, are held by existing directors of AFH.

The notification below, made in accordance with the requirements of the EU Market Abuse Regulation, provides further detail.

Notification and public disclosure of transactions by persons discharging managerial responsibilities and persons closely associated with them

1 Details of the person discharging managerial responsibilities/person closely associated

a)Name

1. Alan Hudson
2. Paul Wright
3. Alex Larvin
4. Austin Broad

2 Reason for the notification

a)Position/status

1. Chief Executive Officer
2. Chief Financial Officer
3. Executive Director
4. Executive Director

b)Initial notification/ Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a)Name AFH Financial Group plc

b)LEI 213800MVLNB5CWDY8X63

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a)Description of the financial instrument, type of instrument Ordinary shares of 10 pence instrument

Identification code

GB00B4W5WQ08

b) Nature of the transaction

1. Grant of Options
2. Grant of Options
3. Grant of Options
4. Grant of Options

c) Price(s) and volume(s)

Exercise Price(s)	Volume(s)
250p	125,000
250p	40,000
250p	25,000
250p	20,000

d) Aggregated information

N/A

- Aggregated volume

- Price

e) Date of the transaction

15 November 2017

f) Place of the transaction

Outside trading venue - off market

This information is provided by RNS  
The company news service from the London Stock Exchange